



2834

2834

Patent
033558.0015.CON1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Applicant: Edward C. Edelman

Serial No.: 09/689,577

Filed: October 12, 2000

**For: COMMAND AND CONTROL
SYSTEM AND METHOD FOR
MULTIPLE TURBOGENERATORS**

)
) **Group Art Unit:** 2834

)
) **Examiner:** Julio C. Gonzalez

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed are the following documents:

- Supplemental Information Disclosure Statement;
- Form PTO/SB/08A;
- Copies of one hundred seventy-four(174) cited references; and
- Return Postcard.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with anything referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

1-14-03
Date of Deposit

Megan A. Massey
Name of Person Mailing Paper

Megan Massey
Signature of Person Mailing Paper

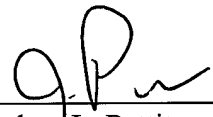
Enclosed is a check to cover the fee pursuant to 37 CFR § 1.17(p).

The Examiner is hereby authorized to charge any additional fee(s) required by this paper to
Brobeck's Deposit Account No. **50-1273**.

Respectfully submitted,

BROBECK, PHLEGER & HARRISON LLP

Dated: 1/13/03

By: 
Jonathan L. Pettit
Reg. No. 40,770

BROBECK, PHLEGER & HARRISON LLP
12390 El Camino Real
San Diego, CA 92130-2081
Phone: (858) 720-2500
Fax: (858) 720-2555



Patent
033558.0015.CON1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Applicant: Edward C. Edelman

Serial No.: 09/689,577

Filed: October 12, 2000

**For: COMMAND AND CONTROL
SYSTEM AND METHOD FOR
MULTIPLE TURBOGENERATORS**

)
) **Group Art Unit:** 2834

)
) **Examiner:** Julio C. Gonzalez

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(d)

Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the following information is brought to the attention of the Examiner. The patents are listed on the attached Form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR § 1.56 and the submission thereof by Applicant shall not be construed as an admission that any such patent, publication or other information referred to therein is material or

C:\NrPortb\SDILIB1\MAM2\472929 1.DOC

CERTIFICATE OF MAILING
(37 CFR §1.8 a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

1-14-03
Date of Delivery

Megan A. Massey
Name of Person Delivering Paper
Megan Massey
Signature of Person Delivering Paper

considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR § 1.56, exists.

The attached Information Disclosure Statement is being filed in accordance with 37 CFR §§ 1.97(d) and 1.98. This IDS is being filed after receipt of a final action but before receipt of a Notice of Allowance Under § 1.311.

As required by the Examiner in the Office Action mailed October 21, 2002, this Information Disclosure Statement is a resubmission of all items of information first cited by Applicant in the Information Disclosure Statement received by the Patent Office on January 7, 2001.

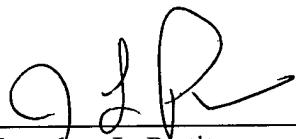
Enclosed is a check to cover the fee pursuant to 37 CFR § 1.17(p).

The Examiner is hereby authorized to charge any additional fee(s) required by this paper to Brobeck's Deposit Account No. **50-1273**.

Respectfully submitted,

BROBECK, PHLEGER & HARRISON LLP

Dated: 1/13/03

By: 
Jonathan L. Pettit
Reg. No. 40,770

12390 El Camino Real
San Diego, California 92130
Telephone: (858) 720-2500
Facsimile: (858) 720-2555



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO		Complete if Known			
		Application Number	09/589,577		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	October 12, 2000		
		First Named Inventor	Edward Edelman		
		Group Art Unit	2834		
		Examiner Name	Julio C. Gonzales		
Sheet	1	of	6	Attorney Docket Number	033558.0015.CON1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	RE 30,589		Park	04-1981	
	AB	2,163,140		Coleman, et al	06-20-1939	
	AC	2,917,635		Bloom	12-15-1959	
	AD	2,920,211		Gotoh	01-05-1960	
	AE	3,041,833		Vore	07-03-1962	
	AF	3,151,250		Carlson	09-29-1964	
	AG	3,221,172		Rolison	11-30-1965	
	AH	3,591,844		Schonebeck	07-06-1971	
	AI	3,611,717		Tissier	10-12-1971	
	AJ	3,621,365		Beck, et al	11-16-1971	
	AK	3,651,331		Raeber	03-21-1972	
	AL	3,663,948		Nagae, et al	05-16-1972	
	AM	3,675,037		Hamilton	07-04-1972	
	AN	3,678,284		Peters	07-18-1972	
	AO	3,703,663		Wagner	11-21-1972	
	AP	3,718,837		Yokota, et al	02-27-1973	
	AQ	3,775,649		Bayer, et al	11-27-1973	
	AR	3,805,517		Sewell, et al	04-23-1974	
	AS	3,806,785		DeValroger, et al	04-23-1974	
	AT	3,830,055		Erlund	08-20-1974	
	AU	3,846,693		Compoly, et al	11-05-1974	
	AV	3,867,846		Cambern	02-25-1975	
	AW	3,908,130		Lafuze	10-12-1976	
	AX	3,930,367		Gasparoli	01-06-1976	
	AY	3,986,038		Short	10-12-1976	
	AZ	4,017,790		Friedlander	04-12-1977	
	BA	4,019,315		Yannone, et al	04-26-1977	
	BB	4,021,704		Norbeck	05-03-1977	
	BC	4,033,115		Baits	07-05-1977	
	BD	4,062,185		Snow	12-13-1977	
	BE	4,062,186		Snow, et al	12-13-1977	
	BF	4,069,424		Burkett	01-17-1978	

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/689,577		
		Filing Date	October 12, 2000		
		First Named Inventor	Edward Edelman		
		Group Art Unit	2834		
		Examiner Name	Julio C. Gonzales		
Sheet		of		Attorney Docket Number	033558.0012.CON1

	BG	4,071,897		Groves, Jr., et al	01-31-1978	
	BH	4,087,711		Kirtley, Jr., et al	05-02-1978	
	BI	4,114,048		Hull, et al	09-12-1978	
	BJ	4,118,926		Curvino, et al	10-10-1978	
	BK	4,144,482		Schwab	03-13-1978	
	BL	4,149,233		Nagano	04-10-1979	
	BM	4,164,661		Hucker, et al	08-14-1979	
	BN	4,195,231		Reed, et al	03-25-1980	
	BO	4,245,163		Philippe	01-13-1981	
	BP	4,262,209		Berner	04-14-1981	
	BQ	4,270,165		Carpenter, et al	05-26-1981	
	BR	4,276,590		Hansel, et al	06-30-1981	
	BS	4,305,129		Yannone, et al	12-08-1981	
	BT	4,340,820		Meyer-Pitroff, et al	07-20-1982	
	BU	4,346,304		Tsunoda, et al	08-24-1982	
	BV	4,349,744		Reuther, et al	09-14-1982	
	BW	4,361,791		Plunkett	11-30-1982	
	BX	4,413,676		Kervin	11-08-1983	
	BY	4,414,804		Menard, et al	11-15-1983	
	BZ	4,425,613		Shelly	01-10-1984	
	CA	4,447,787		Schwesig, et al	05-08-1984	
	CB	4,450,363		Russell, et al	05-22-1984	
	CC	4,460,834		Gottfried	07-17-1984	
	CD	4,467,216		Murphy	08-21-1984	
	CE	4,469,954		Machara	09-04-1984	
	CF	4,508,487		Taylor, et al	04-02-1985	
	CG	4,532,761		Takaoka, et al	08-06-1985	
	CH	4,590,416		Porche, et al	05-20-1986	
	CI	4,597,037		Okado	06-24-1986	
	CJ	4,597,259		Moore, et al	07-01-1986	
	CK	4,626,761		Blaschke	12-02-1986	
	CL	4,631,954		Mills	12-30-1986	
	CM	4,633,157		Streater	12-30-1986	
	CN	4,647,834		Castleman	03-03-1987	
	CO	4,680,691		Yoshino, et al	07-14-1987	
	CP	4,684,875		Powell	08-04-1987	
	CQ	4,698,577		Seymour, et al	10-06-1987	
Examiner Signature					Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number



Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/689,577		
		Filing Date	October 12, 2000		
		First Named Inventor	Edward Edelman		
		Group Art Unit	2834		
		Examiner Name	Julio C. Gonzales		
Sheet		of		Attorney Docket Number	033558.0012.CON1

	CR	4,731,547		Alenduff	03-15-1988	
	CS	4,733,341		Miyazawa	03-22-1988	
	CT	4,763,058		Heining, et al	08-09-1988	
	CU	4,764,712		Blaschke, et al	08-16-1988	
	CV	4,788,873		Laney	12-06-1988	
	CW	4,827,152		Farkas	05-02-1989	
	CX	4,845,418		Conner	07-04-1989	
	CY	4,885,519		Vogelmann	12-05-1989	
	CZ	4,897,994		Shekleton	02-06-1990	
	DA	4,924,170		Henze	05-08-1990	
	DB	4,926,105		Mischenko, et al	05-15-1990	
	DC	4,994,981		Walker, et al	12-19-1991	
	DD	5,053,635		West	10-01-1991	
	DE	5,084,825		Kelly	01-28-1992	
	DF	5,099,408		Chen, et al	03-24-1992	
	DG	5,166,595		Leverich	11-24-1992	
	DH	5,166,597		Larsen, et al	11-24-1992	
	DI	5,168,208		Schultz, et al	12-01-1992	
	DJ	5,177,676		Inam, et al.	01-05-1993	
	DK	5,191,519		Kawakami	03-02-1993	
	DL	5,194,801		Rozman	03-16-1993	
	DM	5,204,595		Opal, et al	04-20-1993	
	DN	5,206,802		Blasko	04-27-1993	
	DO	5,230,607		Mann	07-27-1993	
	DP	5,257,180		Shashida, et al.	10-26-1993	
	DQ	5,262,677		Ramirez	11-16-1993	
	DR	5,302,906		Lerch	04-12-1994	
	DS	5,321,308		Johncock	06-14-1994	
	DT	5,327,718		Iwata, et al	07-12-1994	
	DU	5,336,991		Atherton, et al	08-09-1994	
	DV	5,390,068		Schultz, et al	02-14-1995	
	DW	5,408,821		Romero	04-25-1995	
	DX	5,420,782		Luce, et al	05-30-1995	
	DY	5,427,455		Bosley	06-27-1995	
	DZ	5,436,507		Brown, et al	07-25-1995	
	EA	5,436,512		Inam, et al	07-25-1995	
	EB	5,438,694		Muri, et al	08-01-1995	
Examiner Signature					Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/689,577
Filing Date	October 12, 2000
First Named Inventor	Edward Edelman
Group Art Unit	2834
Examiner Name	Julio C. Gonzales
Attorney Docket Number	033558.0012.CON1

Sheet

of

	EC	5,440,221		Landau	08-08-1995	
	ED	5,442,907		Asquith, et al	08-22-1995	
	EE	5,450,306		Garces, et al	09-12-1995	
	EF	5,452,574		Cowell, et al	09-26-1995	
	EG	5,483,147		Ilic, et al	01-09-1996	
	EH	5,497,615		Noe, et al	03-12-1996	
	EI	5,523,937		Kahkipuro	06-04-1996	
	EJ	5,529,398		Bosley	06-15-1996	
	EK	5,550,450		Palko, et al	08-27-1996	
	EL	5,572,097		Cameron	11-05-1996	
	EM	5,592,067		Peter	01-07-1997	
	EN	5,594,320		Pacholok	01-14-1997	
	EO	5,596,492		Divan, et al	01-21-1997	
	EP	5,610,500		Giberson	03-11-1997	
	EQ	5,610,501		Nelson, et al	03-11-1997	
	ER	5,610,834		Schleuter	03-11-1997	
	ES	5,632,143		Fisher	05-27-1997	
	ET	5,635,768		Birch	06-03-1997	
	EU	5,635,773		Stuart	06-03-1997	
	EV	5,642,007		Gyugyi, et al	06-24-1997	
	EW	5,644,209		Chabbert	07-01-1997	
	EX	5,666,041		Stuart	09-09-1997	
	EY	5,734,257		Schauder, et al	03-31-1998	
	EZ	5,735,116		Mouton	04-07-1998	
	FA	5,745,356		Tassitino, Jr., et al	04-28-1998	
	FB	5,752,380		Bosley, et al	05-19-1998	
	FC	5,757,634		Ferens	05-26-1998	
	FD	5,764,024		Wilson	06-09-1998	
	FE	5,767,660		Schmidt	06-16-1998	
	FF	5,799,484		Nims	09-01-1998	
	FG	5,806,299		Bauermeister, et al	09-15-1998	
	FH	5,819,524		Bosley	10-13-1998	
	FI	5,827,040		Bosley, et al	10-27-1998	
	FJ	5,845,483		Petrowicz	12-08-1998	
	FK	5,850,732		Willis, et al	12-22-1998	
	FL	5,860,279		Bronicki, et al	01-19-1999	
	FM	5,867,380		Lee	02-02-1999	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/689,577
Filing Date	October 12, 2000
First Named Inventor	Edward Edelman
Group Art Unit	2834
Examiner Name	Julio C. Gonzales
Attorney Docket Number	033558.0012.CON1

Sheet

of

	FN	5,872,710	Kameyama	02-16-1999
	FO	5,896,736	Rajamani	04-27-1999
	FP	5,899,673	Bosley	05-04-1999
	FQ	5,903,116	Geis	05-11-1999
	FR	5,915,841	Weissert	06-29-1999
	FS	5,918,985	Bosley	07-06-1999
	FT	5,936,370	Fukao, et al	08-10-1999
	FU	5,965,996	Arledge	10-12-1999
	FV	5,966,926	Shekleton, et al	10-19-1999
	FW	5,982,143	Stuart	11-09-1999
	FX	6,018,200	Anderson	01-25-2000
	FY	6,020,713	Geis, et al	02-01-2000
	FZ	6,023,135	Gilbreth, et al	02-08-2000
	GA	6,031,294	Geis, et al	02-29-2000
	GB	6,032,459	Skowronski	03-07-2000
	GC	6,055,163	Wagner, et al	04-25-2000
	GD	6,093,975	Peticolas	07-25-2000
	GE	6,104,153	Codilian, et al	08-15-2000
	GF	6,169,334	Edelman	01-02-2001
	GG	6,274,945	Gilbreth, et al	08-14-2001
	GH	6,281,601	Edelman, et al	08-28-2001

FOREIGN PATENT DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	GI	EP	0 012 219	A1	Philipsen, et al.	06-25-1980		
	GJ	EP	0 535 382	A1	Kranert, et al.	04-07-1993		
	GK	EP	0 742 356	A2	Hepner, et al.	11-13-1996		
	GL	GB	2 007 926	A	Yannone, et al.	05-23-1979		

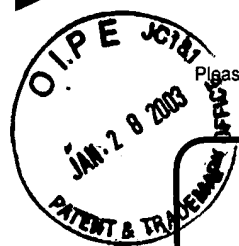
Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/689,577
		Filing Date	October 12, 2000
		First Named Inventor	Edward Edelman
		Group Art Unit	2834
		Examiner Name	Julio C. Gonzales
		Attorney Docket Number	033558.0012.CON1
Sheet		of	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	GM	BYRD, "Pumping Unit Geometry vs. Prime Mover Speed Variation as a Method of Torsional Reduction in a Beam and Sucker Rod Pumping System," <i>Soc. Petro. Eng. of AIME</i> , 12362:1-23 (1983)	
	GN	CORBETT, et al., "Control Requirements for the RB 211 Low Emission Combustion System," <i>Amer. Soc. Mech. Eng.</i> , 116:527-533 (1993)	
	GO	GIBBS, et al., "Utility of Motor-Speed Measurements in Pumping-Well Analysis and Control," <i>SPE Production Eng.</i> , 13198:199-208 (1987)	
	GP	GUFFY, et al., "Field Testing of Variable-Speed Beam-Pump Computer Control," <i>Soc. Petro. Eng. Prod. Eng.</i> , 20123:155-160;315-320 (1991)	
	GQ	LEA, et al., "Study of the Cyclical Performance of Berm Pump Motors," <i>Soc. Petro. Eng. of AIME</i> , 18827:1-8 (1989)	
	GR	NEELY, et al., "Power Savings and Load Reductions on Sucker Rod Pumping Wells," <i>Soc. Petro. Eng.</i> , 19715:141-147 (1989)	

Examiner Signature		Date Considered	
---------------------------	--	------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.